Development of Multidisciplinary Care Model With Participatory Action Research for Heart Failure Clinic in Bangkok, Thailand

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Development of Multidisciplinary Care Model With Participatory Action Research for Heart Failure Clinic in Bangkok, Thailand

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Abstract

Background: Quality of care improvements is a challenge when resources are limited. In this participatory action research (PAR) study, we created a multidisciplinary care model (MCM) for heart failure clinic at a hospital in Bangkok, Thailand, and evaluated quality performance measures and clinical outcomes.

Methods: Using the PAR framework, this study included: 1) identification of problems and planning solutions with providers, 2) development of the MCM, 3) implementation of the MCM, 4) evaluation of quality process and outcome measures among heart failure patients at the follow-up conducted 6 months after implementation of the MCM, and 5) post-MCM survey.

Results: Information management of patient data, redundant work and communication, and ineffective workflow were the main problems identified. Providers suggested initiating a patient database, modifying the electronic health records, and developing an institutional map for heart failure care. Outcome measures were studied among 100 patients (mean age = 61.92 years, SD = 15.75; mean left ventricular ejection fraction = 31.15%, SD = 7.89). The mean guideline adherence indicator increased significantly (p = 0.007) from baseline (87.50 ± 22.14%) to follow-up (94.50 ± 15.54%). At follow-up, there was a significant reduction for risk of heart failure hospitalization (RR: 0.761, 95% CI: 0.652 to 0.889). Most study participants agreed that all MCM components could solve existing problems with heart failure care.

Conclusion: The PAR strategy used to develop the MCM for this heart failure clinic with limited resources was feasible and led to quality-of-care improvements.

Keywords: Heart failure, Heart failure clinic, Multidisciplinary care, Participatory action research, Thailand

1. Introduction

Heart failure is a major public health problem worldwide. The estimated prevalence of heart failure in all ages was 1–2% among developed countries during 2009–2012. The prevalence of heart failure increased in elderly population aged 75 years or older [1,2]. There were 37.3 million patients living with heart failure globally in 2010 [2]. Current clinical practice guidelines have recommended standard medications for treatment of heart failure with reduced ejection fraction (HFrEF) to decrease hospitalization and mortality [3–6]. However, significant problems with clinical outcome still remain; and the directed medication therapy is suboptimal [7]. Patients with HFrEF are at high risk of experiencing adverse effects from prescribed medications; these effects are related to the aging of the heart failure patient population and the presence of multiple co-morbidities with polypharmacy. These factors are potential barriers to medication adherence and lifestyle modification, which impact on the clinical benefits of medications and patients’ quality of life [8–10].

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Ideally, a multidisciplinary team of medical providers cares for patients with heart failure, thus supporting a high quality of care and cost-effectiveness. Several studies of multidisciplinary care from the heart failure clinic have demonstrated significant improvements in heart failure outcomes; however, there were no standardized components and interventions that were capable of being applied to all institutions [11–14]. A meta-analysis of the impact of multidisciplinary care in 3999 heart failure patients revealed a 32% reduction in risk of heart failure hospitalization (OR 0.68, 95%CI 0.53 to 0.88) [11]. However, maintaining and improving quality of care for heart failure patients is limited by circumstances including resource scarcity, increasing number of HFrEF patients, complexity in providing a continuum of care, redundancy of work in patient care tasks and documentation, and additional work from maintaining electronic health records [3–6]. These barriers could be overwhelming; they could lead to healthcare professional burnout and could influence patient safety outcomes including medication errors [15,16].

To improve multidisciplinary team care at a heart failure clinic, there needs to be close collaboration among healthcare members to develop a model that could optimally integrate institutional workflows and clinician preferences [17]. Participatory action research (PAR) supports social changes and solving problems with participants through cooperative methods [18]. There have been substantial PAR studies carried out in various healthcare settings for health promotion; but to our knowledge, there have been no previous PAR studies addressing care of heart failure patients [19–22]. This PAR study aimed to create a new multidisciplinary care model (MCM) for outpatients with chronic heart failure and to evaluate healthcare quality performance measures following implementation of the MCM.

2. Methodology

2.1. Study design and population

This PAR study was carried out for multidisciplinary patient care at a heart failure clinic (HFC) at a hospital in Bangkok, Thailand. Participants were healthcare professionals at both a HFC and non-HFC settings at a tertiary healthcare system. At the HFC, there were two cardiologists, three specialized nurses, and two clinical pharmacists, who provided multidisciplinary care for outpatients with heart failure. Our HFC clinic serves nearly 1200 patients (including repeated patients), or about 600 unique patients per year. The health data documentation system was partially digitalized using electronic health record and includes paper files for the HFC profile. Non-HFC clinicians were healthcare professionals in other departments who involved in the cooperative care of the heart failure patients.

Patients eligible to be included in this study included adults aged above 18 years old who were diagnosed with chronic heart failure with reduced ejection fraction. Reduced ejection fraction was defined as Left Ventricular Ejection Fraction (LVEF) less than 40% [4,5]. Patients who were missing from the treatment schedule for longer than 6 months were excluded from the study. All patients were monitored at the HFC in this study from June 2018 to May 2019.

2.2. Participatory action research (PAR) framework

The PAR framework utilized in this study included the following 5 steps [23]. Step 1) identification of problems in multidisciplinary care and planning of solutions among the HFC and non-HFC healthcare professional staffs participating in this study through meetings and surveys. Two survey questionnaires covered 2 domains of problems and suggestions. Responses included a 5-point Likert scale from strongly disagree (1) to strongly agree (5). Separate questionnaires were developed for the HFC staffs (39 items) and non-HFC staffs (36 items) because of differences in workflows and services as shown in the Table 2 and Supplement 1, 2 (https://shorturl.at/tG024). All survey questionnaires were validated for content by 3 experts. The reliability of the questionnaires was adequate: Cronbach’s alpha was 0.901 for the HFC survey questionnaire and 0.939 for the non-HFC survey questionnaire. Step 2) development of the multidisciplinary care model (MCM). HFC healthcare members created the MCM based on the problems and suggestions identified during step 1. Step 3) implementation of the MCM. The MCM was tested and revised by the HFC members before implementation. Step 4) evaluation of quality performance measures. The objective outcome measurements were collected and analyzed for sharing knowledge and experience. Step 5) Opinion survey for reflection after the implementation of the MCM, and knowledge sharing among the healthcare providers. The PAR framework utilized in this study is described in the flowchart (see Fig. 1).

2.3. Quality performance measures

All quality measures considered by the HFC health professional staffs focused on accountability, validity, reliability, feasibility of data collection, and...
association to heart failure outcomes. The quality performance measures were divided into 2 categories: process measures and outcome measures according to the clinical practice guidelines [3–6] with additional measures [24–26].

2.3.1. Process measures

1) Guideline Adherence Indicator (GAI) is a percentage of guideline-directed medications treatment that are prescribed for HFrEF management. The GAI percent was calculated by a total prescription number of guideline-directed medications divided by a total number of guideline-directed medications that should be prescribed without contraindications as summarized in the Table 1 [3–6]. The percentage of GAI was classified into 4 levels: low (0–39%); moderate (40–59%); high (60–99%); complete (100%) [24,27]. The GAI of each heart failure medication class was calculated to present a proportion of patients were prescribed a selected medication class without contraindications. The GAI calculation was performed by pharmacists.

EHR: electronic health record, MLHFQ: the Minnesota Living with Heart Failure Questionnaire,
NYHA: New York Heart Association

Fig. 1. Participatory Action Research framework for MCM for heart failure patients.
using a summarized data of the prescriptions and laboratory results from patient database registry with additional clinical data from electronic health records in selected cases.

### 2.3.2. Outcome measures

1) Percentage of patients with heart failure hospitalization [3,5] was the proportion of patients who admitted in the hospital with diagnosis of heart failure, acute heart failure, or acute decompensated heart failure as documented in the electronic health records.

2) Percentage of all-cause mortality [3,5], was a proportion of deaths from any causes documented in the electronic health records.

3) Quality of life (QOL) was assessed by the Minnesota Living with Heart Failure Questionnaire (MLHFQ) in Thai language [28,29]. This questionnaire consisted of 21 items with a score ranging from 0 to 104 (the higher score indicated worsening QOL), the Supplement 5, 6 (https://shorturl.at/tG024).

4) Cost-savings were calculated by a difference of total costs in heart failure hospitalizations between the baseline and after the MCM implementation. The costs were collected from documents containing the cost summary reports for admissions from all types of the healthcare insurance.

#### 2.4. Opinion survey for post-MCM and knowledge sharing

We did an evaluation following the development and implementation of the MCM. We conducted a
post-MCM meeting with an opinion survey to assess whether each MCM component solved the problems of multidisciplinary care at the HFC. We also gathered other opinions for improvement and ideas about developing the MCM for different patient populations. The survey was designed by using a 5-point Likert scale (1 = strongly disagree to 5 = strongly agree). It had good reliability (Cronbach’s alpha = 0.891). The questionnaire included of a total of 6 items for the MCM components and other opinions (Supplement 7 (https://shorturl.at/tG024)).

2.5. Statistical analysis

Descriptive statistics were calculated for surveys and baseline characteristics. The proportions (and percentages) were reported for categorical variables. The mean ± standard deviation was presented for continuous data with normal distribution (including survey scores, age, LVEF, and GAI percent). The median with interquartile range (IQR) of 25th to 75th percentiles were reported for non-normal distributed continuous data (including 6MWT and patient’s knowledge score). The quality performance analyses were performed to determine effects of the MCM at 6-months follow-up compared to baseline. We compared categorical variables including GAI levels, NYHA functional class, and heart failure hospitalizations using the McNemar’s test. The paired t-test was used for the GAI percent, which was a continuous variable with a normal distribution. The Wilcoxon signed rank test was used for the patient’s knowledge score and MLHFQ score, which were continuous variables with a non-normal distribution. A two-sided alpha value was presupposed as 2.5% to determine statistical significance. Relative risk (RR) was calculated with a 95% confidence interval (CI) to determine an association of the MCM implementation with risk of heart failure hospitalization. Sample size was calculated by using a continuity correction that assumed 20% dropout and

Table 2. Demographic data from healthcare professionals at HFC and non-HFC settings and their ratings (1 = strongly disagree to 5 = strongly agree) of problems and suggestions for multidisciplinary care at HFC

<table>
<thead>
<tr>
<th>HPs in HFC</th>
<th>HPs in Non-HFC</th>
</tr>
</thead>
<tbody>
<tr>
<td>N = 8</td>
<td>N = 35</td>
</tr>
</tbody>
</table>

**Respondent demographics**

1. Age (years)
   - 20-30 2 (25.00) 11 (31.43)
   - 31-40 4 (50.00) 10 (28.60)
   - 41-50 1 (12.50) 8 (22.86)
   - 51-60 1 (12.50) 6 (17.14)
2. Female 6 (75.00) 34 (97.14)
3. Type of Professionals
   - Nurses 3 (37.50) 34 (97.14)
   - Physicians 1 (12.50) 0
   - Pharmacists 2 (25.00) 1 (2.86)
   - Others 2 (25.00) 0

**Problems - score (mean ± SD)**

1. Professional staff shortages (cardiologist, nurses, pharmacists, other healthcare professionals) 3.94 ± 0.44 3.15 ± 0.34
2. Patient information management (documentation, retrieving, archiving, organization, and reporting) 3.80 ± 0.23 3.59 ± 0.07
3. Redundant work and communication (patient interviews, recommendation, documentation) 3.46 ± 0.52 3.54 ± 0.21
4. Patient monitoring and transition of care 3.46 ± 0.52 2.87 ± 0.11
5. Workflow and waiting time 2.87 ± 0.37 2.99 ± 0.19
6. Overall problems with HF patients’ care 2.38 ± 2.07 3.22 ± 0.58

**Suggestions - score (mean ± SD)**

1. Development of a specialized patient database for HFC (management of the patient information records) 4.30 ± 0.10 3.69 ± 0.11
2. Modification in the hospital electronic medical record system (a popup notification with HFC patient status, tracking of the last department visit in the appointment patient list) 4.32 ± 0.09 3.56 ± 0.22
3. Development of an institutional map for heart failure care 4.13 ± 0.83 3.64 ± 0.95
   - Development of digital multimedia technology for patient education
   - Development of materials for patient counseling on medications

HPs: healthcare professionals, HFC: heart failure clinic, N/A: not available.
power of 80%. We calculated a total sample of 99 patients was needed to detect an estimated difference from 46% to 24% in the rate of heart failure hospitalization between baseline and 6-months follow-up after the MCM implementation [12]. All statistical analyses were performed by using the SPSS software (IBM SPSS statistics 22, IBM Corp.).

2.6. Ethical issues

Our study was granted by the Health System Research Institute (HSRI), Thailand. There was no any involvements in conducting this research by the HSRI. This study protocol was approved by the Bangkok Metropolitan Administration Human Research Ethic Committee (BMAHRC) under approval code E006h/61.

3. Results

3.1. Identification of problems and planning solutions

A total of 44 healthcare professionals, 8 staffs who worked in the heart failure clinic (HFC) and 35 staffs worked in other departments or non-HFC settings, participated in this study. All practitioners were concerned mainly with the problems of health professional staff shortages, information management of patient’s healthcare data, redundant work and communication, workflow, and waiting time. The HFC’s staff realized that one reason that patients missed their scheduled was problems with patient monitoring and referring between departments. Feasible suggestions were discussed and scored including development of a disease-specific patient database, modification of the electronic health records, and institutional map for care management of heart failure patients with reduced ejection fraction.

3.2. Development of the MCM

The problems and suggested plans from step 1 were applied in designing the MCM to address institutional workflow, culture, and compatibility. The MCM components included the following:

3.2.1. Institutional map for heart failure care

An institutional map for heart failure care was created and approved by the HFC members for HFrEF management. It included information on treatment availability, workflow, professional roles of healthcare providers, monitoring processes, clinical outcomes, and key performance indicators, which adhered to the standard, evidence-based clinical practice guidelines [3–6]. The local heart failure care map was also planned to reduce the redundancy in workflow by supporting and guiding each health professional’s individual role. The content covered 4 domains in heart failure assessment and diagnosis, pharmacological treatment and devices, patient education, and monitoring for clinical outcomes.

3.2.2. Local patient database registry for the HFC

An extra heart failure dataset was needed to perform specific tasks related to this study. The dataset was developed by IT programmers with input from the users’ points of view, which included HFC and non-HFC participants. This additional platform was operated in parallel with a pre-existing hospital electronic health record in order to facilitate documentation, retrieving, archiving, and reporting essential patients’ information. Moreover, this is the source of clinical outcome data for this study.

3.2.3. Modification of the report in electronic health record for patient status of the HFC

A popup notification for the healthcare personnel regarding HFC patient status was created to inform the non-HFC clinicians to refer or schedule an appointment back to the HFC after hospital admission. Another modification on the electronic health record was made for patients who were missing their appointments at the HFC due to being scheduled at non-HFC locations or being admitted in the hospital. The modification reported the current location of department visit for patients that were on the HFC patient list; it also notified HFC nurses to be aware and cooperate with other departments. These modifications intended to prevent patients from missing their scheduled visits at the HFC or losing opportunities for care coordination with the HFC.

3.2.4. Materials to support patient adherence

Other suggestions from the surveys were to develop materials for supporting patient education and medication adherence. The materials were created to encourage patient education through a booklet, a logbook, and digital multimedia with simple animations that illustrated general content for heart failure patients. This content included the common signs and symptoms of heart failure, medications, lifestyle modifications, self-care, documenting and self-monitoring (diet, blood pressure with pulse rate, weight, and worsening symptoms), and when to seek a medical attention. Patients and caregivers watched the digital multimedia during
their waiting time at the HFC. They received individual counseling with the healthcare team. They were also provided with a QR code of the digital multimedia for self-study at home. Another material was a modification on labelling of the heart failure medications when prescribing ACEIs/ARBs/BBS/MRAs. We added an indication for heart failure in the computerized prescribing system, which aimed to prevent patient confusion with other indications, especially hypertension. Finally, a chart of medication samples was designed to help discussion about medication adherence during the patient counseling.

3.3. Implementation of the MCM and evaluation of the quality performance measures

After the MCM was pre-tested and revised by the HFC professional members, a consensus decision was made. Then the implementation of the MCM was gradually applied to the routine practice. The institutional map for heart failure care was first utilized to revise the HFC workflow and plan for quality measurements, then other materials were applied. After the patient database registry was successfully implemented, manual data collection and filing gradually decreased. Instead, the relevant patient information was systemically extracted via a newly developed platform. This platform created a recorded dataset. It was feasible to generate the data evaluation and report for process and outcomes measures in regular practice, as planned. Patients were monitored during implementation of the MCM for 6 months with assessments of the quality performance measures.

3.3.1. Baseline characteristics

A total of 203 patients were screened with the inclusion criteria. There were 77 patients excluded from the study due to incomplete documentation. 26 patients were excluded due to missing the HFC scheduled appointments for longer than 6 months. Finally, a total of one hundred patients included in this study. Of the total study patients, 58% were males with a mean age of 61.92 years (SD = 15.75). The participants’ mean LVEF was 31.15% (SD = 7.89). Ischemic cardiomyopathy was a major leading cause of HFrEF (70%). Baseline characteristics of the study population are summarized in the Table 3.

3.3.2. Quality performance measures

1) Process measures:

Heart failure medications prescribed according to the referenced guidelines were calculated as the GAI percent (Table 4). The mean GAI percent increased significantly compared to the baseline (87.50 ± 22.14% vs. 94.50 ± 15.54%, p = 0.007). The proportion of patients with complete GAI (100%) increased significantly compared to the baseline (72% vs. 88%, p = 0.010). The heart failure medication class GAI percent was calculated as the proportion of patients who were prescribed a heart failure medication without contraindications. We found that spironolactone was the only heart failure medication that we studied whose GAI percent increased significantly compared to the baseline (79.70% vs. 97.30%, p = 0.039).

The proportion of patients with NYHA functional class I after the MCM implementation increased significantly (25% vs. 53% respectively, p < 0.001); while the number of patients with NYHA class III decreased significantly compared to the baseline (25% vs. 3.0%, p < 0.001). Patients’ performance in walking distance improved; the median 6MWT increased significantly from the baseline (240 m vs. 300.00 m, p < 0.001). In addition, the median score for patient knowledge increased significantly from the baseline (75.00% vs. 100%, p < 0.001, Table 4).

A total of 401 drug related problems (DRPs) were identified in 94 patients (94.00%). The highest percentage of DRPs was the medication dosage too low (44.89%), which mainly was found in dosage titration of the heart failure medications (22.44%). Patient non-adherence was 18.45%; non-adherence included self-
care problems such as having an uncontrolled diet for the heart failure management or co-morbidities (5.24%), not taking prescribed medications (3.49%), and incorrect drug administrations (2.99%). 6.48% of patients had adverse drug events, which included symptomatic hypotension or bradycardia (4.49%), hyperkalemia (3.74%), and acute kidney injury (2.00%). All DRPs were successfully managed and monitored by the collaboration of cardiologists, nurses, and clinical pharmacists to correct the prescriptions and provide patient counseling.

2) Outcome measures:

Six months after the MCM implementation, the rate of heart failure hospitalization decreased significantly from the baseline (33.00% vs. 12.00%, \( p = 0.001 \)). The implementation of the MCM was associated with a 23.9% reduction in risk of heart failure hospitalization compared to the baseline (RR 0.761, 95% CI 0.652 to 0.889). All-cause mortality was reported in 5 cases (5.00%). A significant number of patients had an improved mean MLHFQ score compared to the baseline (12.00 vs. 10.07, \( p < 0.001 \)). The total costs of heart failure hospitalization decreased by 73.6% compared to baseline, Table 5.

3.4. Opinion survey for post-MCM and knowledge sharing

A total of forty-five healthcare providers from the HFC and non-HFC settings participated in the MCM development and implementation. In the opinion survey, they responded that all of the MCM components decreased the pre-existing problems with patient care at the HFC. All mean scores were higher than 4.00 for a 5-point Likert scale, with slightly higher scores from the HFC members than non-HFC practitioners (Table 6). Respondents also felt that the development process of the MCM could be applied to different multidisciplinary care services in other departments. The hospital electronic health record system required more improvement for effective use. In particular, the patient database registry should be synchronized with the electronic health record system for use in a real time manner. Finally, the materials and digital multimedia were good for patient education; however, they needed more development for use in other patient populations.

Knowledge sharing was the conclusion of the process to develop the MCM with extensive suggestions and input from healthcare providers. The process began with participants collecting problems and possible plans in meetings and surveys, which brought out practical solutions. Development of an institution map for heart failure care was key; it provided the main plan to guide the role and responsibilities for each professional member with key performance indicators. Preparation of the dataset for patient database registry needed to be concise and relevant to the outcome measures. The MCM components that needed technical staffs and application developers were challenging to develop.
Table 5. Outcome measures after MCM implementation at 6-months follow-up

<table>
<thead>
<tr>
<th>Outcome measures</th>
<th>Baseline (N = 100)</th>
<th>MCM (N = 100)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>HF hospitalization—number (%)</td>
<td>33 (33.00)</td>
<td>12 (12.00)</td>
<td>0.001*</td>
</tr>
<tr>
<td>RRR (%)</td>
<td>63.64</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>RRR (95% CI)</td>
<td>0.761 (0.652–0.889)</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>All-cause mortality—number (%)</td>
<td>N/A</td>
<td>5 (5.00)</td>
<td></td>
</tr>
<tr>
<td>Quality of life (MLHFQ score) median (IQR)</td>
<td>13.00 (5.00, 24.50)</td>
<td>10.07 (2.00, 10.50)</td>
<td>&lt;0.001**</td>
</tr>
<tr>
<td>HF hospitalization costs</td>
<td>Thai Baht 1,108,561.08</td>
<td>292,662.55</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>US Dollars 36,714.43</td>
<td>9692.69</td>
<td>N/A</td>
</tr>
<tr>
<td>Cost-savings</td>
<td>73.60</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>


Table 6. Demographic data for healthcare professionals 6 months after implementation of the MCM and their ratings (1 = strongly disagree to 5 = strongly agree) of MCM components in addressing problems with HF patient care

<table>
<thead>
<tr>
<th>Respondent demographics - number (%)</th>
<th>HPs in HFC</th>
<th>HPs in Non-HFC settings</th>
</tr>
</thead>
<tbody>
<tr>
<td>N = 7</td>
<td>N = 38</td>
<td></td>
</tr>
</tbody>
</table>

1. Age (years)
   - 20-30 1 (14.29) 2 (5.26)
   - 31-40 3 (42.86) 13 (34.21)
   - 41-50 3 (42.86) 14 (36.84)
   - 51-60 0 9 (23.68)

2. Female
   - 6 (85.71) 38 (100.00)

3. Professionals
   - Nurses 2 (28.57) 36 (94.74)
   - Physicians 2 (28.57) 0
   - Pharmacists 1 (14.29) 2 (5.26)
   - Technical nurses 1 (14.29) 0
   - Clerks 1 (14.29) 0

Please rate on how each of the MCM component resolved the pre-existing problems of the HF patients care

1. Institution map for heart failure care 4.71 ± 0.49 4.42 ± 0.55
2. Modifications in the hospital electronic medical record system 4.57 ± 0.79 4.37 ± 0.67
3. Patient database registry for the HFC 4.43 ± 1.13 4.50 ± 0.56
4. Digital multimedia technology for patient education 4.71 ± 0.49 4.45 ± 0.60
5. Materials for patient counseling on medications 4.71 ± 0.49 4.63 ± 0.54

Other opinions - number (%)

1. Development process of the MCM N = 17 8 (47.06)
   - A good example that can be applied in other multidisciplinary care services included outpatient clinics and hospitalized patients
2. Patient information system included patient database registry and electronic health record system 6 (35.29)
   - Need for data synchronization between the patient database registry and electronic health record system in a real time manner
   - Electronic health record should be modified for documentation that supported the current multidisciplinary care
   - The MCM has facilitated communication between the HFC and other relevant departments through the electronic health record
   - Usual communication still required for confirmation of the cooperation between departments, especially for the hospitalized patients with ward staffs
3. Materials and digital multimedia for patient education 3 (17.65)
   - Need for more developments for other patient populations including patients using inhalers
   - An incredibly good medication sample chart for drug counseling

HPs: healthcare professionals, HFC: heart failure clinic.
because of communication barriers due to different perspectives. To overcome these obstacles, participants suggested that technical staff communicate with lay language and illustrate their database plans with a simple dataset structure. Finally, continual follow up throughout the development and progression of the MCM implementation and ongoing problem-solving were the keys to the success of this project.

4. Discussion

A multidisciplinary team is essential for coordinating care for patients to reach their goals of receiving the guideline-directed medical therapy. A system of care is also important to improve patient outcomes and support communication among healthcare professionals. The MCM was developed to improve the multidisciplinary care. We integrated concepts of PAR into the development of the MCM to address resource limitations with an increasing number of patients and workload. This study proved the feasibility of MCM development that consisted of participants who came from various departments other than host clinic (heart failure clinic) to voluntarily share and contribute. The willingness for participation, sharing experiences, brainstorming for solutions, and cooperation to develop and deploy the MCM were the major keys to success. These factors made the PAR-based model different from a conventional MCM model.

This studied MCM led to favorable clinical outcomes i.e., heart failure hospitalization risk reduction, improvement of GAI percent, NYHA functional class, and quality of life. To our knowledge, this is the first study that applied PAR in the development of a MCM for caring for a chronic heart failure population.

Previous evidence of PAR was mostly conducted in a community level; these studies mainly focused on the common diseases with a lesser degree of disease complexity such as hypertension and diabetes mellites. In addition, models on disease preventive measures such as cancer screenings (colorectal and cervical cancers) and vaccination program have been reported [20-22,30,31]. There has been limited evidence of PAR-based MCMs that apply to complicated disease subtypes. For example, two ongoing PAR-based MCM randomized controlled trials are currently focused on antibiotic stewardship and an advanced care plan program [32,33].

A PAR study is designed to encourage participation through a cycle of planning – action – observation – reflection with the objectives of social change and knowledge building [23]. In our study, the PAR cycle started with identification of problems and planning for solutions – development of the MCM components – implementation the MCM – evaluation of the quality performance measures – reflection and knowledge sharing. The participation step allowed healthcare members to share and reflect their experiences by collecting any possible suggestions. This activity was also recommended to prevent the healthcare provider burnout [34]. Moreover, the participation level in our study was considered as high level because we initiated and carried out the PAR without outside facilitators [23].

The suggested plans were designed directly to solve the local problems in regular practice under available resources. For instance, we developed the institutional map for heart failure care as the main plan of the MCM. We agreed to decrease our manual data collection from electronic health records because the functions were limited to serve patient information management in the documentation, retrieval, and communication. The barrier of the electronic health record was also reported in several studies; these studies reported that the electronic health record system was insufficient to improve adherence according to the evidence-based practice guidelines for HFrEF management. The studies also identified the potential to increase unnecessary workload in documentation with task redundancy [35-37]. However, the electronic health record is a big data management system that is actually has a high potential to support patient care quality and efficiency for disease management. However, the data systems need to be designed well and used effectively. Therefore, we applied the newly developed patients’ HFC dataset for data collection of the performance and outcome measures. This dataset proved the significant benefits of improving the quality of patient tracing and care.

We adjusted the workflow based on the local heart failure care map for each professional’s role to increase communication among healthcare professionals for coordinating the patient care between departments. We designed materials to assist patient education based on common non-adherence problems in our HFC. These materials included a booklet with a logbook of self-monitoring, digital multimedia, medication labelling for heart failure treatment, and the medication sample chart.

After the MCM implementation for 6 months, the results showed improvements in quality performance measures in both process and outcome measures: GAI percent, NYHA functional class, 6MWT, patient knowledge scores, heart failure hospitalization rate, MLHFQ, and cost savings.
results were supported by the IMPROVE-HF study (Improving Evidence-Based Care for Heart Failure in Outpatient Cardiology Practices). In this study, a HFrEF registry in outpatients cardiology practices showed improvements in 5 out of 7 guideline quality measures including medication management (beta-blocker and MRA), cardiac resynchronization therapy and heart failure education [38]. After the MCM implementation, we found that the heart failure hospitalization rate was significantly lower than the baseline (33%, vs. 12%, p = 0.001) with a relative risk of 0.761 (95% CI 0.652 to 0.889). The results were supported by previous studies. For example, a meta-analysis of 3999 patients in HFCs, revealed that the risk of heart failure hospitalization reduced significantly by 32% (OR 0.68, 95% CI 0.53 to 0.88) [11]. A randomized controlled study of a HFC in Thailand showed that the rate of rehospitalization in the HFC group was significantly lower than the usual care group (46% in the usual care group vs. 24% in the HFC group, p = 0.04) [12].

There has also been substantial evidence that GAI percent and NYHA functional class were associated with clinical outcomes in heart failure [24,27,38,39]. One example is a longitudinal cohort of the HELUMA, a heart failure registry in Germany from 1994 to 2007 with 3292 outpatients at cardiology clinics. In this study, increasing GAI percentage was independently associated with a reduction in all-cause mortality (HR = 0.92, 95% CI: 0.88 to 0.97, p = 0.001). Patients with NYHA functional class of III/IV (higher severity of HF symptoms) compared to the NYHA functional class of I/II (lower severity of HF symptoms) was independently associated with an increase in all-cause mortality (HR 1.67, 95% CI: 1.13 to 2.47, p = 0.01) [27]. Therefore, we applied the GAI percent and NYHA functional class as performance indicators for the MCM. In our study, the number of patients that received complete GAI (100%) increased significantly from the baseline (72% vs. 88% respectively, p = 0.010). The number of patients with NYHA functional class III decreased significantly from the baseline (25.0%, vs. 3.0% respectively, p < 0.001), which could predict a decrease in all-cause mortality.

We found that patients’ knowledge score increased significantly after the MCM implementation. The implementation included individual counseling with the HFC team and supporting educational materials. Our result was supported by a randomized controlled study in heart failure patients that aimed to evaluate the educational intervention by multidisciplinary team. That study showed that patients in the intervention group had significantly higher knowledge scores immediately after the intervention (p = 0.02), and sustained higher scores over the 1-year follow-up (p = 0.05) [13]. The incidence of adverse drug reactions (11.48%) in our study was lower than a report in the previous study (77%). Our lower incidence is likely due to the fact that we had faster follow-up in our study, and there could be some unrecorded data [10]. The MLHFQ score among our study participants improved significantly. This result was supported by several previous HFC studies, which showed that patients had better quality of life scores after the interventions implemented by the multidisciplinary team [12,40–42]. After the implementation of the MCM in our study, the costs of heart failure hospitalizations declined by 73.60%, which was greater than a previous study (36.5%). This difference may be due to the fact that the previous study had a higher risk population with more elderly patients. These patients were more likely to develop worsening symptoms, and be hospitalized with acute decompensated heart failure [42].

5. Limitations

There were some limitations of this study. First, although this study was conducted prospectively, randomization was not performed. The participants from non-heart failure sections were enrolled voluntarily. This may result in selection bias. Second, despite regular reminders, cooperation from participants was not perfectly achieved. A few survey questionnaires were not returned, and full engagement on every project activity was not been accomplished. Third, the post-MCM had different content than the baseline survey; the baseline survey asked respondents to identify problems with heart failure care, while the post-MCM survey asked about the components of the MCM that solved the problems. Thus, the scores from the baseline and post-MCM survey could not be compared. However, we conducted an opinion survey instead; most of the participants agreed that every MCM component could resolve the pre-existing problems. During the post-MCM meeting, participants discussed the lessons learnt, to solicit feedback, and to identify the remaining problems for the future improvement. Fourth, the new updated standard practice guidelines for management of heart failure were launched during the study period. Recommendations regarding standard heart failure medications and a monitoring parameter had been updated; these changes affected some monitoring quality measure parameters. Lastly, because our study had a small number of participants and was conducted at a
single center, its generalizability and applicability to other settings may be limited.

6. Conclusions

This study proved that the PAR strategy for developing a MCM for a heart failure clinic in a hospital with limited human and other supporting resources was feasible. Consequently, this model effectively led to quality-of-care improvements in terms of both process and clinical outcomes. The key components to success included: 1) using team decisions to direct problem-solving plans, 2) the cooperative attitude from participants, especially who were non-HFC staff, and 3) team contributions and information technology-assisted measures that were integrated into the main hospital’s electronic health record system. Further study in a larger study population with a randomization design is warranted. In addition, future studies of this type among the different types of clinics or to address other diseases should be considered.

Funding

This study was funded by the Health System Research Institute (HSRI), Thailand.

Conflict of Interest

None.

Acknowledgements

We would like to express appreciation to all our colleagues in the heart failure clinic and other departments at Taksin hospital for their insights, expertise, and collaboration, including stellar technical support from the audiovisual staff. Our colleagues’ work contributed greatly to the success of this research. We are also immensely grateful to all patients at the heart failure clinic. Finally, our research could not be success without a valuable support from the HSRI.

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